

**Title: Development of multi-functional streetscape green infrastructure using a performance index approach**

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### **Abstract (limit 150 words only)**

This paper presents a performance evaluation framework for streetscape vegetation. A performance index (PI) is conceived using the following seven traits, specific to the street environments – Pollution Flux Potential (PFP), Carbon Sequestration Potential (CSP), Thermal Comfort Potential (TCP), Noise Attenuation Potential (NAP), Biomass Energy Potential (BEP), Environmental Stress Tolerance (EST) and Crown Projection Factor (CPF). Its application is demonstrated through a case study using fifteen street vegetation species from the UK, utilising a combination of direct field measurements and inventoried literature data. Our results indicate greater preference to small-to-medium size trees and evergreen shrubs over larger trees for streetscaping. The proposed PI approach can be potentially applied two-fold: one, for evaluation of the performance of the existing street vegetation, facilitating the prospects for further improving them through management strategies and better species selection; two, for planning new streetscapes and multi-functional biomass as part of extending the green urban infrastructure.

**Keywords:** *green infrastructure; multi-functional; pollution; performance index; streetscape*

**Capsule abstract:** A performance index is developed and applied to fifteen vegetation species indicating greater preference to medium size trees and evergreen shrubs for streetscaping.

#### **Highlights:**

- A performance evaluation framework for streetscape vegetation is presented.
- Seven traits, relevant to street vegetation, are included in a performance index (PI).
- The PI approach is applied to quantify and rank fifteen street vegetation species.
- Medium size trees and evergreen shrubs are found more favourable for streetscapes.
- The PI offers a metric for developing sustainable streetscape green infrastructure.

## 1 **1. Introduction**

2 Streets usually cover more than a quarter of a city and offer opportunities for increasing tree density in  
3 the existing urban fabric. Urban proliferation, typically through scattered patterns of low-density  
4 developments, or infill of urban space with medium and high density dwellings, provide further  
5 potentials for boosting managed vegetation along streetscapes<sup>1</sup> comprising of roads, streets,  
6 sidewalks, squares, bridleways, etc. (LAEC, 2007; Jim and Chen, 2008; Stovin et al., 2008; Ignatieva  
7 et al., 2010; Dawe, 2011). Planting trees along streetscapes has been considered useful for improving  
8 urban health and wellbeing, especially in densely populated inner-city built environments  
9 characterised by space constraints and high pollution levels (Pauleit 2003; Roy et al., 2012;  
10 Vlachokostas et al., 2014). Through adequate policy measures and design strategies, street trees hold  
11 multifarious potentials for improving human comfort at modest costs, primarily through passive  
12 cooling, pollution alleviation (air, water, noise) and flood risk aversion (Shashua-Bar et al., 2010a;  
13 Armson et al., 2013a; Nowak et al., 2014; Gromke et al., 2015). Recent findings suggest public and  
14 private benefits of street trees in terms of their positive contributions to neighbourhood development  
15 and sustainability (Pandit et al., 2013; Salmond et al., 2013). Street vegetation already constitutes a  
16 substantial portion of green space cover in such regions globally, with reported tree densities of up to  
17 158 and 300 stands per km of street respectively in Melbourne, Australia and Guangzhou, China  
18 (Kendal et al., 2011). In cities with heavy industrial or traffic activities, ‘green belts’ have been  
19 integral part of streetscapes (along ring roads and arterial/ trunk routes), primarily introduced to  
20 mitigate odour, noise and air pollution (Chaulya, 2004; Rao et al., 2004; Pathak et al., 2011).

21  
22 Several local authorities have developed roadside vegetation management plans, inviting developers  
23 and residents to participate in increasing street tree population alongside their long term preservation  
24 (LAEC, 2007; Hawkesbury City Council, 2010; Hall et al., 2012; Heidrich et al., 2013). However,  
25 streets and other paved sites offer complex stress environments and therefore the suitability of trees  
26 for such sites requires higher priority to stress tolerance over their aesthetic and other functionalities.  
27 A review of Scandinavian tree species reported the existing information to be either piecemeal (and  
28 very general, lacking local perspective) or too specific (and contradictory) to meet the requirements of  
29 urban tree planners (Sjöman, H., & Nielsen, 2010). Traditionally, the resilience of an urban tree  
30 population has been largely dependent on species selection to withstand pest infestations, i.e. natural  
31 selection (Raupp et al., 2006; Bassuk et al., 2009). Common considerations guiding the selection of  
32 species encompass, but are not limited to, their representativeness of native vegetation,  
33 decorativeness, salt tolerance, ability to uptake soil contaminants, and growth performance (Churkina  
34 et al., 2015). However, cities globally have witnessed habitat fragmentation and increased non-native  
35 diversity of streetscape vegetation as a result of newly introduced species. This has been further

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<sup>1</sup> Streetscapes are defined as planted specimens growing along the verge of streets (Barber et al., 2013).

36 aggravated during recent drive to increase urban green cover through fast-track programs to plant  
37 millions of trees via national and/or international campaigns (Young, 2011; Zhao et al., 2013; Plant  
38 the Planet, 2014). Such initiatives for creating ‘naturopolises’ are likely to succumb to environmental  
39 stresses from the drastic differences between urban and natural systems unless due consideration is  
40 given to developing resilient tree infrastructure using the scientific evidence on interactions between  
41 plants and urban ambient conditions (Churkina et al., 2015). Street trees in particular are exposed to a  
42 relatively high stress level, including high pollutant concentrations (Harris and Manning, 2010;  
43 Demuzere et al., 2014); damage from wind gusts, de-icing salt, high/low ambient temperatures; harsh  
44 growing conditions, including restricted rooting space owing to low quality growing substrate and soil  
45 compaction (Gill et al., 2008; Armson et al., 2013a), restricted space for crown development (Sæbø et  
46 al., 2005); and, insufficient access to water and oxygen, which are only likely to get worse with the  
47 projected adverse future climate (Roloff et al., 2009). Increased urbanisation would further influence  
48 the pollution dynamics and the alteration of the structure and function of the natural ecosystems  
49 (Williams et al., 2009). This will evidently influence future tree assemblages along streets, which in  
50 most cases is already dominated by just a few species. The European tree survey has shown that only  
51 three to five genera, including *Platanus*, *Assculus*, *Acer*, *Tilia*, account for 50% to 70% of all street trees  
52 planted (Pauleit 2003). Spain has only five genera representing 56% of all the trees planted in paved areas  
53 (Sæbø et al., 2005); England, UK, has only six species accounting for 37% of all trees and shrubs  
54 planted within cities, including Leyland cypress ( $\times$  *Cupressocyparis Leylandii*), hawthorn (*Crataegus*  
55 *spp.*), sycamore (*Acer pseudoplatanus*), silver birch (*Betula pendula*), common ash (*Fraxinus*  
56 *excelsior*), and privet (*Ligustrum spp.*) (Britt and Johnston 2008); the London Plane tree (*Platanus*  
57 *acerifolia*) is among the most numerous large street and park trees planted in Greater London (UK)  
58 (Davies et al., 2011).

59  
60 A considerable amount of research efforts have gone into assessing the effects of air pollution on  
61 roadside vegetation (Lau, 2001; Truscott et al., 2005; Wagh et al., 2006; Bignal et al., 2008) and  
62 conversely on their role in mitigating air pollution (Yang, 2005; Nowak et al., 2006; McDonald et al.,  
63 2007; Tiwary et al., 2009). Evaluation of the net effect of increased vegetation on the urban air quality  
64 in the local-to-neighbourhood scale street environment has been a central theme of recent research  
65 studies (Salmond et al., 2013; Gromke and Blocken, 2015). Increased traffic-generated N-emissions  
66 have been associated with accelerated growth of some ‘lower plant’ species (e.g. bryophytes) along  
67 streets, mainly owing to fertilisation effects of the scavenged NO<sub>x</sub>, HNO<sub>2</sub> and/or NH<sub>3</sub> emissions on  
68 their surfaces (Bignal et al., 2008). Certain tree species have been earmarked for plantations along the  
69 roads as bio-monitors for vehicle emissions (Moreno et al., 2003; Hofman and Samson, 2014).  
70 However, despite some generalised modelling studies, there is still much to be learned about the  
71 characteristics and ecophysiology of different types of urban vegetation and their interaction with the  
72 street environment (Calfapietra et al., 2015). This indicates an urgent need to improve our

73 understanding of the environmental responses of the vegetation species used before decisions are  
74 made about streetscape species selection. Street tree good practice guides have been developed -  
75 outlining the design criteria for street plantations, choice of suitable tree species and maintenance  
76 requirements - with increasing emphasis on planting smaller tree species as street trees because they  
77 fit better into narrow pavements and are easier to manage (Pauleit, 2003; Britt and Johnston 2008;  
78 Armson et al., 2013b; Forest Research, 2014). A generalised prescription for suitable streetscape  
79 vegetation species and genotypes include – tree life span; required growth space and adaptability to  
80 the local environment; tree functionality (pollution/noise attenuation, cooling, flood risk aversion,  
81 storm water reduction, etc.); cost of propagation, establishment and management; aesthetics; stress  
82 and drought tolerance; potential allergenicity of species (Sæbø et al., 2005; Vlachokostas et al., 2014).

83

84 The scope of this study is to evaluate the inherent traits of high-performing streetscape vegetation,  
85 deemed important for sustainable and widespread climate change mitigation as well as adaptation. It  
86 is motivated by the emerging trends of adaptation strategies based on urban greening, maximising the  
87 potentials for multiple benefits while avoiding the conflicting influences on meeting the objectives  
88 (CLG, 2007). The development of a Performance Index (PI) framework is meant to facilitate the  
89 decision-support of planners/practitioners by providing a repeatable metric for comparative  
90 evaluations on the multitude of streetscaping prospects, such as planting a line of seasonal woody tree  
91 biomass vs. perennial shrubs, or developing a vegetation mix, combining sparse line of trees with an  
92 understory etc. The first part of this paper describes the methodological framework in developing the  
93 performance index. The application of this methodology is demonstrated through a case study in the  
94 second part of the paper. This is followed by a discussion on the relevance of such an approach, as  
95 well as its limitations to conducting an all-inclusive evaluation of streetscape vegetation.

96

## 97 **2. Development of performance index**

98 Understanding and improving the environmental performance of street/roadside vegetation  
99 comprehensively (trees, shrubs, forbs etc.) has motivated the development of index-based  
100 frameworks. Several researchers have expended efforts towards developing performance indices for  
101 specific application of urban trees – for example, towards greenbelt development for pollution  
102 alleviation (Prajapati and Tripathi, 2008); for reducing of traffic-generated noise (Pathak et al., 2011);  
103 for more comprehensive evaluation of their ecosystem services and goods from urban forests (Dobbs  
104 et al., 2011; Kenney et al., 2011), etc. A recent study developed a decision-making scheme for  
105 benchmarking/prioritising tree species in urban environments using a framework which combines two  
106 multi-criteria methods to provide an optimal ranking. The set of multiple criteria include tree life  
107 span, required growth space, planting capability in built environment, aesthetics, tolerance, pollution

108 attenuation, adaptation to local climate, crown density, cost, and potential allergenicity of species  
109 (Vlachokostas et al., 2014). However, their study does not appear to address the issues pertaining to  
110 street environment and has not considered biogenic emissions (BVOCs) from vegetation *per se*.

111 The performance index (PI) is conceived in this study as a combination of the following seven  
112 performance traits for streetscaping vegetation – 1. Pollution Flux Potential (PFP) i.e. influence on  
113 local-to-regional atmospheric pollutants, comprising of both uptake and release; 2. Carbon  
114 Sequestration Potential (CSP) i.e. increased cycling of biogenic carbon; 3. Thermal Comfort Potential  
115 (TCP) i.e. evapo-transpirative cooling; 4. Noise Attenuation Potential (NAP) i.e. abatement of traffic-  
116 generated noise; 5. Biomass Energy Potential (BEP) i.e. renewable resource for bioenergy; 6.  
117 Environmental Stress Tolerance (EST) i.e. resistance to toxic ambient urban pollutants and water  
118 stresses; and 7. Crown Projection Factor (CPF) i.e. competition for space in the street environment.  
119 The first five essentially depict the multi-functionality of street vegetation, the sixth its resilience and  
120 the seventh is a dimensional trait. The latter two have been considered as overriding factors,  
121 establishing the fitness for purpose of the species exclusively for street environments. Although  
122 developing an all-inclusive performance index is deemed impractical, the above traits have been  
123 considered essential towards developing resilient and multi-functional street plantations. A gradation  
124 pattern is applied to substitute the finite estimates (values rounded off to one decimal place) with  
125 increasing number of + or –, to acquire the overall PI of a species. This facilitates in harmonising the  
126 disparate values using common metrics for comparison in terms of the equivalent PI score in the  
127 decision matrix (see **Appendix A, Tables A.1 and A.2**). The following sections provide an overview  
128 of the framework developed and its implementation to a case study.

129

### 130 *2.1 Pollution flux potential*

131 The pollution flux potential (PFP) accounts for the interactions of the foliage with the street  
132 environment - for both the dry deposition and release of air pollutants. Urban vegetation have been  
133 found to be effective filters in scavenging gaseous and particulate air pollution (Tiwary et al., 2009;  
134 Sjöman and Nielsen, 2010; Buccolieri et al., 2011), with recent evaluations on the costs associated to  
135 avoided health impacts (Nowak et al., 2014). During dry deposition, pollutants adhere to the surface  
136 of plants where they may subsequently become re-suspended in the atmosphere, washed off by  
137 rainfall or absorbed into the plant (Getter & Rowe, 2006; Currie & Bass, 2008; Jim & Chen, 2008;  
138 Setälä et al., 2013). During gas transfer, gaseous pollutants are removed from the air by entering  
139 plants through leaf stomata and reacting with compounds within the plant, a process which may result  
140 in damage to the plant itself (Clark et al., 2008; Currie & Bass, 2008; Jim & Chen, 2008). The  
141 effectiveness of vegetation in performing these functions is affected by factors such as plant species,  
142 leaf area index and atmospheric conditions (Jim & Chen, 2009). Time of day and subsequently levels

143 of incoming solar radiation also significantly affect rates of plant gas exchange (Clark et al., 2008;  
144 Kwak & Baik, 2014).

145 Nearly all plants emit pollens and biogenic volatile organic compounds (BVOC), the latter during  
146 reproduction, growth, and defense. The BVOCs are emitted by leaves, flowers, and fruits of plants  
147 and these compounds can exacerbate photochemical pollution (Calfapietra et al., 2013). A graphical  
148 overview of BVOC emissions rates (in micrograms of isoprene or monoterpenes per gram of leaf  
149 mass per hour) for a list of popular urban plants species is presented in Churkina et al. (2015); a more  
150 detailed compilation of BVOC emissions from a wide range of vegetation species can be found in  
151 Guenther (2013). The PFP of a species has been formulated using the available information on leaf-  
152 level processes, as a net effect of annual pollutant deposition ( $P_{dep}$ ) and emission ( $P_{emit}$ ) weighted by  
153 its seasonal leaf cover profile (Eq. [1]). The latter is parameterised as a coupled function of the leaf  
154 cover during full foliation (expressed as leaf area index,  $LAI$ ) and its annual profile (expressed as  
155 intra-annual foliage factor,  $IAL$  i.e. the ratio of the number of months with foliage cover to the total  
156 number of months in a year). This is aimed to account for the physiological differences attributed to  
157 seasonal variations for deciduous and coniferous stands, providing a representative PFP.

$$158 \quad PFP = \left(1 - \frac{P_{emit}}{P_{dep}}\right) \times LAI \times IAL \quad [1]$$

159 Both  $P_{dep}$  and  $P_{emit}$  (expressed as  $\text{kg yr}^{-1}$ ) can be either literature-derived (based on leaf-level activity  
160 values of pollutant depositions and emissions) or directly acquired from field campaigns.  $P_{dep}$  includes  
161 dry deposition of the following five air pollutants - ozone ( $\text{O}_3$ ), sulfur dioxide ( $\text{SO}_2$ ), nitrogen dioxide  
162 ( $\text{NO}_2$ ), carbon monoxide ( $\text{CO}$ ), and particulate matter less than  $10\mu\text{m}$  ( $\text{PM}_{10}$ ).  $P_{emit}$  includes  
163 emissions of isoprene, monoterpenes and other BVOCs (USDA, 2008). The quantification of pollen  
164 emissions has not been included as part of  $P_{emit}$  owing to their narrow window of influence on an  
165 annual basis.

166  
167

## 168 2.2 Carbon sequestration potential

169 Vegetation sequester atmospheric carbon in the form of biomass and their sequestration potentials  
170 vary widely between species depending on their phenology and growth characteristics (Davies et al.,  
171 2011). Recent evaluations of carbon storage and sequestration by urban trees have been reported  
172 (Escobedo et al., 2010; Zhao et al., 2010; Foster et al., 2011; Nowak et al., 2013). It is worth noting  
173 that urban forests are estimated to store approximately 50% less carbon than natural forests – possibly  
174 due to the younger age of trees in urban areas (Nowak & Crane, 2002; McPherson, 2010; Zhao et al.,  
175 2010). However, a study by Nowak & Crane (2002) found rates of carbon sequestration decrease as a

176 tree matures so young trees in urban areas could be considered beneficial. The carbon sequestration  
177 potential (CSP) takes into account the capacity of the entire plant to store carbon within woody, long-  
178 lasting tissues considering that fine roots and litter have a relatively fast turnover. The carbon  
179 sequestered in the soil has been omitted from these estimates owing to inadequate information to date  
180 about the carbon fluxes in urban soils for a diverse range of street tree plantations and their  
181 disturbances during road works, soil amendments, etc. Various approaches have been adopted to  
182 determine the CSP of tree species, one of which is empirical equations, similar to the one shown in  
183 (Eq. [2], expressed as  $\text{kg yr}^{-1}$ ), based on field scale studies in terms of the total biomass carbon  
184 content (Northup et al., 2005).

$$185 \quad CSP = AGB \times TBCF \times C \quad [2]$$

186 Where *AGB* is Above Ground Biomass ( $\text{kg yr}^{-1}$ ), *TBCF* is total biomass conversion factor, and *C* is  
187 carbon content of dry mass ( $\text{kg C kg dry mass}^{-1}$ ) (0.5). We used empirical biomass equations (see  
188 **Appendix B**) to estimate above ground biomass (*AGB*) and subsequently below ground biomass is  
189 added to it to determine total biomass using a *TBCF* value of 1.28 (Aguaron and McPherson, 2012).

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### 192 *2.3 Thermal Comfort Potential*

193 Street trees have been found effective in mitigating the effects of heat and drought at highly sealed  
194 urban sites, can have a substantial cooling effect on the urban air temperature (Leuzinger et al., 2010;  
195 Gillner et al., 2015), and have been reported to reduce cooling energy demand by 20% (Akbari et al.  
196 (2001). Microclimate modelling of the cooling effect of street trees in their immediate vicinity show  
197 strong dependence on three parameters - the built form geometry (building height and street width),  
198 the canopy coverage level and planting density – with negligible influence of other species  
199 characteristics, such as leaf size and other plant physiological parameters (Shashua-Bar et al., 2010a).  
200 It is noteworthy, for any tree coverage level the cooling effect of street trees strongly vary with  
201 available open space - deeper canyons (i.e. building height > street width) tend to reduce the tree  
202 cooling effect, requiring trees with fastigiated crowns planted in those sites, mainly for shading and  
203 thermal comfort in the noon hours; shallow canyons (i.e. street width > building height) on the other  
204 hand enhance the cooling effect, requiring plantation of broad-leaf trees in minimum planting  
205 intervals. Further, more drought-tolerant and slow-growing trees have been found to reduce radiation  
206 less than faster-growing species, hence providing less evapo-transpirational cooling owing to their  
207 less dense canopies (Armson et al., 2013b). Typically on a warm sunny day passive cooling offered  
208 by a street tree (quantified as reduction in surface temperature and thermal loads) has been reported to  
209 bear strong positive correlation with its canopy projection area and LAI (Armson et al., 2013b; Gillner



210 et al., 2015). These two tree characteristics have been used to parameterise its indicative Thermal  
211 Comfort Potential (TCP) as shown in **Eq. [3]**

$$212 \quad TCP \sim CanopyArea \times LAI \quad [3]$$

213

214

#### 215 *2.4 Noise Attenuation Potential*

216 Roadside vegetation belts have been found effective in traffic noise attenuation closer to the roads up  
217 to 5-10 dB compared to bare grass in previous studies (Huddart, 1990; Fang and Ling, 2005; Pathak et  
218 al., 2011). Typical traffic noise ranges between 1000 to 2000 Hz, which is considered to lie within an  
219 ‘acoustic window’ between the low and high frequency noise, where high potential attenuation rates  
220 from vegetation are not found effective, however, vegetation surfaces have been reported to make  
221 traffic noise less annoying by filtering mainly high frequencies (Huddart, 1990; Pathak et al., 2011).  
222 Dense canopies, typically with interlocking evergreen vegetation, show higher attenuation potential  
223 than rarefied canopies, with studies recommending an optimal compromise between aesthetic and  
224 acoustic performance by using a mixed stand with dense planting of broadleaved evergreens (e.g.  
225 spruce) along with deciduous shrubs and conifers (Huddart, 1990; Ozer et al., 2007; Maleki et al.,  
226 2010). Conventionally, the noise attenuation factor is expressed as the ratio of the mass flux reaching  
227 a particular distance in absence of vegetation to the mass flux reaching the same distance in the  
228 presence of vegetation (Pathak et al., 2011). An estimate of the indicative trend for Noise Attenuation  
229 Potential (NAP) is obtained in terms of the available stand characteristics as follows (**Eq. [4]**).

$$230 \quad NAP \sim \frac{Avg.LeafBiomass}{(CanopyArea \times Height)} \times IAL \quad [4]$$

231

232

#### 233 *2.5 Biomass Energy Potential*

234 Woody vegetation has been identified an important renewable resource for bioenergy, alleviating the  
235 growing demand for cropped biofuels (de Richter et al., 2009). The bio energy potential (BEP)  
236 evaluates the end-of-life use of the biomass – mainly the woody stock from chips, bark and pruning.  
237 Recovery of bioenergy, mainly as heat from the combustion of the managed pruning/coppicing of the  
238 street vegetation, is obtained from its heating value on a dry basis (BISYPLAN, 2012).  
239 Conventionally, this is expressed in terms of either the Higher Heating Value (HHV) or the Lower  
240 Heating Value (LHV) (both expressed as MJ kg<sup>-1</sup>). The HHV on a dry basis is related to the typical  
241 stoichiometric chemical composition of the biomass (**Eq. [5]**) following Sagani et al. (2014):

$$242 \quad HHV = 0.341 * C + 1.322 * H - 0.12 * (O + N) + 0.0686 * S - 0.0153 * Ash \quad [5]$$

243

244 Where,  $C$ ,  $H$ ,  $O$ ,  $N$ ,  $S$  and  $Ash$  denote the corresponding carbon, hydrogen, oxygen, nitrogen, sulfur  
245 and ash content, in %w/w of the bio-fuel. However, since HHV reflects the total amount of heat  
246 energy that is available in the fuel, including the energy contained in the water vapour of the exhaust  
247 gases, LHV is considered more appropriate representation of the BEP (BISYPLAN, 2012), evaluated  
248 as a function of HHV (Sagani et al., 2014). This has been weighted by the annual aboveground  
249 biomass (AGB) of a stand ( $\text{kg yr}^{-1}$ , estimated in Section 2.2) to obtain its gross BEP (**Eq. [6]**,  
250 expressed as  $\text{MJ yr}^{-1}$ ):

$$251 \quad BEP = LHV \times AGB = \left( HHV - \left( \frac{2.444 * 8.936 * H_{dry}}{100} \right) \times AGB \right) \quad [6]$$

252 In this expression, 2.444 ( $\text{MJ kg}^{-1}$ ) refers to the latent heat of vaporisation of water at 25° C, whilst  
253 8.936 (kg) refers to the quantity of water formed by burning 1 kg of hydrogen.  $H_{dry}$  ( $\text{MJ kg}^{-1}$ ) denotes  
254 the hydrogen content of the fuel.

255

256

## 257 2.6 Environmental Stress Tolerance

258 Environmental Stress Tolerance (EST) depicts the resilience of the street vegetation from water stress  
259 and pollution damage. Unlike naturally forested or parkland areas, street trees are specifically  
260 subjected to excessive environmental stresses induced by traffic-generated air and water pollution  
261 (Bignal et al., 2008; Churkina et al., 2015), the latter exacerbated from water stress in  
262 disturbed/compacted soils typically used in streetscapes (Quigley, 2004). Acute water stress in plants  
263 leads to reduction in the leaf chlorophyll content from production of reactive oxygen species (ROS) in  
264 the chloroplast (Pathak et al., 2011). On the other hand, such stresses lead to increase in ascorbic acid  
265 content as a defensive response in order to protect thylakoid membranes of leaves from oxidative  
266 damage under the influence of increased ROS (Tambussi et al., 2000). Also, plants with high leaf pH  
267 show greater tolerance against air pollution (Prajapati and Tripathi, 2008). Using these criteria the  
268 EST can be evaluated on the basis of species-specific analyses of four biochemical parameters (**Eq.**  
269 **[7]**).

$$270 \quad EST = \frac{(A * (T + P)) + R}{10} \quad [7]$$

271 Where  $A$  and  $T$  are ascorbic acid the total chlorophyll content of leaf samples respectively (both  
272 obtained as  $\text{mg g}^{-1}$  of fresh weight),  $P$  is the leaf extract pH and  $R$  is its relative water content (%).

273

274

## 275 *2.7 Crown Projection Factor*

276 The Crown Projection Factor (CPF) has been considered an important trait in characterising  
277 streetscape vegetation. This is a measure of the lateral spread of a species at maturity, commonly  
278 expressed in terms of the canopy projection area in the arboriculture literature (Shimano, 1997). It is  
279 noteworthy that same tree species can potentially have different performance results for the majority  
280 of the earmarked traits along roadside vs. open parklands. Recent studies have reported large street  
281 trees as - obstacles to airflow, hampering the mixing of pollutants in poorly ventilated areas close to  
282 streets owing to reduced air exchange with the above-roof ambient environment (Gromke et al., 2009;  
283 Wania et al., 2012; Vos et al., 2013); damaging the road fabric owing to their deep rooting (Randrup  
284 et al., 2001). While on one hand, fastigate (narrow) crowns are recommended as more effective in  
285 trapping the traffic pollutants (Darcy and Forrest 2010; Farahani et al. 2012), planting density and  
286 canopy coverage levels has been considered an important factor in noise reduction (Huddart, 1990;  
287 Pathak et al., 2011) and evapo-transpirational passive cooling (Shashus-Bar et al., 2010; Armson et  
288 al., 2013b) in urban streets. There is increasing emphasis on planting smaller tree species as street  
289 trees because they fit better into narrow pavements and are easier to manage (Britt and Johnston  
290 2008). CPF has been inversely associated with fitness for street plantation and given overriding  
291 weightings (**Table A.1**) in the evaluation of PI, typically relevant for the narrow streets/roads in  
292 western European countries. This is meant to overcome the negative feedbacks to both the air and the  
293 soil environments in the street, potentially avoiding the competition between the road space and the  
294 kerbside vegetation. The CPF of a species (expressed as m<sup>2</sup>) is directly proportional to its diameter at  
295 breast height (DBH) (typically for DBH < 100 cm; Shimano, 1997) and approximated as a coupled  
296 function of *DBH* and the stand height, *H* (in meters each) (**Eq. [8]**).

$$297 \quad CPF = DBH \times H \quad [8]$$

298

299

## 300 **3. Case study**

### 301 *3.1 Site description and species selection*

302 The case study site was located on an area spanning 250m×200m adjacent to a busy road network,  
303 connecting the suburbs to Newcastle-upon-Tyne city center, UK (54.979°N, 1.6111°W). An initial  
304 visual assessment of species abundance, proximity to the road and suitability for assessment was  
305 carried out to draw a shortlist of fifteen species, comprising of a mix of deciduous and evergreen trees  
306 and shrubs (**Table 1**). Inclusion of shrubs and forbs has been particularly recommended in the  
307 literature for a better understanding of the full suite of multi-functionality of the urban ecosystems  
308 (Dobbs et al., 2011). It is noteworthy that the life span for the majority of the street trees is much  
309 shorter than their biological potentials owing to harsh growing conditions in urban paved sites (for  
310 example, the average life expectancy of street trees is estimated to be currently around 60 years for

311 Berlin, but can be as low as 20 years. Monitoring of trees in inner city Liverpool showed that nearly  
312 30% died within five years of planting (Pauleit, 2003).

313 <place Table 1 somewhere here>

314

315

### 316 3.2 Data collection and analysis

317 All sampling was performed within 100 m of the verge of the main road since literature evidence  
318 suggests strongest effects of traffic-generated pollutants in the first 50-100 m from road (Bignal et al.,  
319 2008), with particulates decreasing in concentration more rapidly than gaseous constituents, and gases  
320 with a high deposition velocity (such as  $\text{HNO}_2$  and  $\text{NH}_3$ ) decreasing more rapidly than those with a  
321 lower deposition velocity (such as  $\text{NO}$  and  $\text{NO}_2$ ) (Truscott et al., 2005). The earmarked traits for the  
322 vegetation species were evaluated using a combination of experiments and literature survey for  
323 acquiring the underlying datasets, as described below and summarised in **Table 2**.

324

#### 325 3.2.1 Pollution Flux Potential

326 Inventory data from the i-Tree model (Nowak et al., 2006; USDA, 2008) have been used for both  $P_{dep}$   
327 and  $P_{emit}$ . This approach overcame the complexities in simultaneous, long-term measurement of  
328 pollutant fluxes in busy urban street environments. For  $P_{dep}$  validation, nitrogen concentrations were  
329 used as proxy given the site was close to heavy traffic activity. The nitrogen analysis was performed  
330 following a method adapted from Bignal et al. (2008). For  $P_{emit}$  validation, isoprene concentrations  
331 have been used as proxy, estimated for UK-specific inventoried leaf-level emissions data following  
332 Guenther (2013).

#### 333 3.2.2 Carbon Sequestration Potential

334 Within the study area, all trees have been inventoried and structural data measured, i.e. diameter at  
335 breast height, height, crown depth, crown wideness, health status of the plant, and crown exposure to  
336 light. For each species, its CSP has been considered directly proportional to its *AGB* (using **Eq. [2]**),  
337 the latter expressed as a function of its stand height and the *DBH* using empirical biomass equation  
338 (based on **Table 1**). The empirical biomass equations used in our estimates are acquired from the  
339 documented literature, representative of the European growing conditions (see **Appendix Table B.1**)  
340 for average plant age up to 250 years. Apparently, all the vegetation included in this study were of  
341 lower age than this threshold (maximum of 234 for beech as shown in **Table 1**), therefore we consider  
342 the equations applicable to the estimation. Species lacking reported information have been  
343 approximated to their closest match; for example, both *Berberies* and *Larustinus* have been  
344 generalised using empirical biomass equation for *Mahonia*. As estimated biomass on the basis of  
345 empirical equations is generally found to be higher than field observed values, all outputs were  
346 multiplied by a compensatory adjustment factor of 0.8 following Nowak (1994). Similar to the i-Tree

347 Eco approach, the total biomass estimates were further multiplied by biomass adjustment factor  
348 (ranges from 0-1) to adjust for the tree condition as follows: fair to excellent condition – 1, poor  
349 condition – 0.76, critical condition – 0.42, dying – 0.15, dead – 0.

350

### 351 *3.2.3 Thermal Comfort Potential*

352 The peculiar role of street vegetation in shading the buildings and the paved surface in its vicinity  
353 during sunlit hours has been considered as a proxy for its TCP. Direct measurements of air, mean  
354 radiant or surface temperatures were not undertaken during this study as sufficient inferences have  
355 been drawn in previous experimental studies, both in the UK (Armston et al., 2013b) and elsewhere  
356 (Shashua-Bar et al., 2010a,b). For the majority of the tree species the two parameters characterising  
357 TCP (canopy area, LAI) were acquired directly from the i-Tree inventory (Nowak et al., 2006; USDA,  
358 2008). The canopy characteristics of shrubs included in this study were derived from direct field  
359 measurements.

360

### 361 *3.2.4 Noise Attenuation Potential*

362 Inferences on acoustic performance of roadside plants have shown them more effective in noise  
363 attenuation if their orientation is lower towards the noise and higher towards the receptors, enabling  
364 noise absorbance as well as deflection (Pathak et al., 2011). However, the majority of trees grown in  
365 street environments are meant to be away from the roads, mainly to avoid unwanted mess creation on  
366 pavements and streets and taking into consideration the health and safety of the road users. As a  
367 compromise, all vegetation within 50 m of the street verges in our case study area were considered to  
368 meet the criteria of suitable noise buffers. While no actual measurement of noise attenuation was  
369 conducted, inferences based on previous studies (Huddart, 1990; Ozer et al., 2007; Pathak et al., 2011)  
370 were used to identify medium-to-low height denser vegetation with vertically uniform leaf  
371 distribution as better candidates for noise attenuation compared to taller trees with prominent trunk  
372 space and distinct crown. Canopy densities of the tree species were characterised using three stand  
373 parameters (average leaf biomass, canopy area, height of stand), acquired mainly from the i-Tree  
374 inventory data (Nowak et al., 2006; USDA, 2008). The canopy characteristics of the shrubs and the  
375 IAL for all the species were obtained from direct field observations.

376

### 377 *3.2.5 Biomass Energy Potential*

378 For estimating the BEPs, the required constituent chemical composition of woody biofuels - *C*, *H*, *O*,  
379 *N*, *S* and *Ash* (see **Section 2.5**) of the selected species typically representative of temperate climates in  
380 Europe and North America were acquired from literature survey (**Table 2**) (Obernberger et al., 2005;  
381 AIEL, 2008; Tumuluru et al., 2011). Those species which have not been exclusively listed in the  
382 literature were approximated as typical values of the following categories – virgin wood thinning

383 (coniferous or deciduous wood/ logging residues), wood chips, short rotation coppice pruning –  
384 provided in AIEL (2008).

385

### 386 *3.2.6 Environmental Stress Tolerance*

387 In order to estimate the ESTs, a sampling protocol was adapted to ensure that the species were  
388 subjected to similar stress environments i.e. exposure to traffic air pollutants, soil conditions and  
389 insolation levels, and negligible spatial heterogeneity. This was considered since environmental  
390 factors like soil, rainfall, temperature are important parameters influencing the pollution tolerance of  
391 vegetation (Mickler et al., 2003). Ascorbic acid content of leaf samples was estimated following  
392 Queval and Noctor (2007). Total chlorophyll content of the leaves was estimated using the technique  
393 adopted from Yan-Ju and Ding (2008). The leaf pH was determined following Prajapati and Tripathi  
394 (2008). The relative water content, estimated following Pathak et al. (2011), served as a measure of  
395 plant stress from exposure to pollutants. Standard protocols and formulations for sampling and  
396 analysis of the four constituent parameters are provided in **Table 2**.

397 For estimation of EST, conducting a long-term sampling campaign for all the species studied over  
398 different seasons was considered ambitious, mainly owing to the difficulty in associating  
399 environmental stressors with the evergreens during no-leaf periods of deciduous species. As a  
400 substitute, we considered it appropriate to set the start of the spring foliation season for the deciduous  
401 species as the benchmark for representative estimation of the EST. Thereafter, field sampling of all  
402 the constituent parameters for the studied species were obtained in three stages (late-spring, mid-  
403 summer, early-autumn), followed by laboratory analyses (Tiwary et al., 2015).

404 *<place Table 2 somewhere here>*

405

406

## 407 **4. Results and Discussion**

### 408 *4.1. Performance index*

409 The Performance Index (PI) framework was successfully applied to the species included in the case  
410 study, demonstrating its capabilities for conducting a comprehensive evaluation of street trees. For  
411 each species first the values of the seven traits were quantified through the proposed methodology and  
412 then they were harmonised using the gradation scheme (**Table A.1**) to obtain their corresponding PI  
413 scores (**Table 3**). Despite variations in constituent traits, a number of species attain similar PI score  
414 (mostly in 13-17 range), primarily owing to different combinations of individual gradations for the  
415 seven traits considered. This is crucial for developing a sustainable streetscape green infrastructure  
416 and reflects the strength of the PI approach in incorporating multi-dimensional attributes of the  
417 species in ensuring their worthiness of streetscaping. It is worth mentioning that the pollution flux  
418 potential (PFP) is the net effect of the level of pollutant release and/or deposited on the species whilst

419 the environmental stress tolerance (EST) is the measure of its pollution tolerance. The lower PFPs for  
420 some species are mainly attributed to their net effect on air pollution flux to the local environment, i.e.  
421 the fact that their pollution sink potentials ( $P_{\text{dep}}$ ) are offset by their BVOC emissions ( $P_{\text{emit}}$ ) potentials.  
422 For example, the lower PFPs for Sweetgum and SRC Willow (almost negligible) are mainly owing to  
423 the resultant effects of pollutant deposition and emission [Sweet gum:  $P_{\text{emit}}(373.75 \text{ g y}^{-1})$ ,  $P_{\text{dep}}(368.63$   
424  $\text{g y}^{-1})$ ; PFP(-0.03 ~ 0.0) (since  $P_{\text{emit}} > P_{\text{dep}}$ ) and SRC Willow:  $P_{\text{emit}}(1506.00 \text{ g y}^{-1})$ ,  $P_{\text{dep}}(1591.87 \text{ g y}^{-1})$ ;  
425 PFP (0.093 ~ 0.1) (since  $P_{\text{emit}} < P_{\text{dep}}$ )]. The high ESTs of London Plane, Turkish Hazlenut,  
426 Horsechestnut, Spruce, Hornbeam, Ash and Lime demonstrate their high pollution tolerance,  
427 corroborating with previous studies on their worthiness as tolerant street vegetation (Beckett et al.,  
428 2000; Sæbø et al., 2005; Peachey et al., 2009). The thermal comfort potentials (TCPs) are typically  
429 higher for trees with large crowns, for example Beech, Horsechestnut, Spruce. London Plan,  
430 Sycamore. The noise attenuation potential (NAP) is consistently poor for the majority of species,  
431 except for Spruce and the shrubs, which is attributed mainly to their foliage density characteristics.  
432 The carbon sequestration and bioenergy provision (CSP, BEP respectively) capabilities seem closely  
433 related to each other with London Plane and Willow showing best suitability. For shrubs, the PI  
434 scores are dominated by their high CPF and modest NAP and EST. The latter two are typical for the  
435 evergreen shrubs and considered vital traits for ensuring their suitability as streetscape vegetation.  
436 Overall, among trees Norway spruce (evergreen species) appears to be the most favorable for  
437 streetscapes, with high scores across most of the evaluated traits, except CSP and BEP. This is  
438 followed by Willow, Maple, Hazlenut, Hornbeam, Ash, London Plane, Lime and Horsechestnut.  
439 Beech and Sweetgum are the only two species attaining unfavourable PI score for streetscaping. The  
440 case of Beech is unique – it does score high on its multi-functionality traits so definitely is a high-  
441 performing species overall for general urban planting (e.g. parklands, greenspace, woodlands, etc.),  
442 but it does not seem favorable for the street environments, solely owing to its unfavorable CPF score.  
443 On the other hand, the case of Sweetgum is completely different, which despite exhibiting a  
444 favourable CPF fails to acquire a higher PI owing to its lower PFP (being high BVOC emitter).

445 <place Table 3 somewhere here>

446

#### 447 4.2 Merits and limitations

448 The proposed PI framework aims to develop high-performing streetscape vegetation. It is noteworthy  
449 that the PI is an indicative metric, specifically meant for streetscape vegetation under European  
450 conditions. It should not be interpreted as absolute values, and in no way should be treated as a ‘one-  
451 size-fits-all’ blueprint for urban vegetation in general. The approach is still shy of being considered  
452 comprehensive, in particular lacking supporting information on issues of storm water run-off/ flood-  
453 risk mitigation and resilience therefrom. We acknowledge the use of inventoried data while evaluating

454 the constituent traits of the PI could be over- or under-estimating the resultant values. Albeit, the  
455 inventory generated from the i-Tree Eco model is the most extensive publicly-available dataset thus  
456 far (USDA, 2008), enabling screening level assessments to explore the trends without excessive  
457 dependence on the experimental resources. Nevertheless, more ambitious assessments of streetscape  
458 should follow representative evaluation of the constituent traits using the PI methodology. This could  
459 also involve detailed analyses of site-specific samples corresponding to the study area's tree species,  
460 climate, seasonality, management practice, etc. It is also noteworthy that the units of the traits are to  
461 be strictly adhered to for consistency in allocation of representative grading score (**Table A.2**), failing  
462 which will yield an anomalous PI score. The CSP estimations are based on empirical equations  
463 specific to Europe for the majority of the species, however, a small number of species with no  
464 Europe-specific information have been approximated using general equations. As such, this  
465 introduces some uncertainty in the calculations, but for the added benefit of allowing a much broader  
466 screening assessment of popular street vegetation this has been accepted as an affordable trade-off.  
467 The derivations used for estimating TCP and NAP are purely indicative of the trends, based on their  
468 characterising parameters as reported in the recent literature.

469 Another important limitation of the proposed PI approach, especially relevant for temperate  
470 landscapes, is its abstract species-specific PI scoring for single street vegetation, which assumes a  
471 steady foliage profile, rather than incorporating a mixed-species stand with a seasonally dynamic  
472 vertical foliage profile and its corresponding phytological responses to the different seasons (spring-  
473 summer: predominantly sun-lit with optimal foliage performance; autumn-winter: predominantly  
474 over-casted or snow-laden with underperforming foliage). This issue affects both the deciduous and  
475 the evergreen species, albeit it has more contrasting responses from the cyclic foliation and defoliation  
476 of the deciduous species. We envisage this limitation may not be fully overcome. However, this could  
477 be addressed by adequately accounting for the foliage and the seasonal dynamics in terms of a  
478 weighted PI, hereafter referred to as  $PI_{Effective}$ . This is intended to overcome the issue of skewing the  
479 species selection process by under or over-estimating the PIs of deciduous species over evergreen  
480 species. For example, a deciduous species may have a higher peak PI during optimal foliage  
481 performance over late-spring/summer, whereas an evergreen species may have consistently lower PI.  
482 But owing to leaf abscission in the former case its  $PI_{Effective}$  will be lower. Hypothetically, it implies  
483 that although a deciduous species can have high PI values during the summer months, overall an  
484 evergreen species can still have higher  $PI_{Effective}$ , owing to its consistent foliage profile capable of  
485 continuing to perform under seasonal weather perturbations and extreme events (severed rain/storm,  
486 snow, flood, draught, etc.) over the year (**Figure 1**). However, thorough assessment of this aspect of  
487 the PI has been considered beyond the scope of this study.

488 <place Figure 1 somewhere here>



489 The gradations applied to convert the finite estimates for the constituent traits are subjective; a  
490 uniform scaling has been adopted, reflecting the patterns reported in the literature, to alleviate this  
491 issue. Further, our evaluations did not include lateral issues arising from unwanted mess creation on  
492 pavements and in streets by some trees from droppings of fruits and foliage (e.g. *Prunus* (Ornamental  
493 Cherry), or brittle limbs (e.g. *Robinia pseudoacacia* (Locust Tree), *Fraxinus angustifolia* 'Raywoodii'  
494 (Claret Ash)). Root system is another important consideration, specific to the context of climate  
495 change resilience of streetscape vegetation, with emerging trends suggesting vegetation with invasive  
496 rooting systems (e.g. *Populus* (Poplar or Aspen), *Salix* (Willow)) and those with shallow rooting  
497 systems (e.g. *Prunus* (Ornamental Cherry), *Betula* (Birch)) unfit for street environments. However,  
498 the PI framework does not account for these aspects of streetscape vegetation, owing to limited  
499 information on conducting a comprehensive evaluation across all the candidate species as yet.

500

## 501 **5. Conclusions and future directions**

502 Our study demonstrates development and application of a Performance Index (PI) for promoting  
503 multi-functional and resilient urban streetscape vegetation, mainly aiming to maximise their service to  
504 the urban community while ensuring their prolonged existence. Through a case study, conducted for a  
505 real road-side environment comprising of fifteen trees and shrubs species, a mix of small-to-medium  
506 size trees and evergreen shrubs is identified suitable for developing multi-functional streetscape  
507 vegetation. The premise of the PI approach is that the vegetation species must be well-suited to the  
508 specific growing conditions and resilient to threats from pests, drought, storms, etc., otherwise  
509 functional performance is moot. It is noteworthy that this study only evaluated the direct energy  
510 recovery from the biomass (in terms of calorific value). A more holistic evaluation in the next step  
511 warrants extending the assessment framework to include additional traits, such as rain water  
512 harvesting, flood risk aversion, nutrient recovery via composting and/or advanced bio refinery  
513 processes (mainly for extraction of value-added chemicals from the biomass), etc. Lateral assessment  
514 of roadside vegetation as scavengers of nutrients, could also be twinned towards promoting an  
515 innovative street vegetation regime, dominated by species with low BVOC emissions, but at the same  
516 time with accelerated response to N-deposition in terms of enhanced growth. Such managed street  
517 environments would enhance nutrient utilisation capacity in a closed-system, further boosting their PI  
518 through positive contributions. Our PI has implications for developing more resilient streetscape green  
519 infrastructure, specifically in the context of scattered urbanisation pattern with low-density  
520 development, commonly witnessed in the peri-urban regions.

521

522

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536

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**Table 1. Morphological definition of the street vegetation species used in evaluation.**

Species	Average Stand height (m)	Average DBH (cm)	Average Street tree age <sup>†</sup> (yr)	Average LAI	IAL <sup>‡</sup>
<b>Stand type: Trees</b>					
Horsechestnut ( <i>Aesculus hippocastanum</i> ) <sup>a</sup>	16.70	63.90	90	5.55	0.58
Sycamore Maple ( <i>Acer pseudoplatanus</i> ) <sup>a</sup>	9.37	32.44	23	2.76	0.75
Hornbeam ( <i>Carpinus betulus</i> ) <sup>a</sup>	12.57	7.15	35	2.03	0.75
Turkish Hazel ( <i>Corylus colurna</i> ) <sup>a</sup>	13.03	14.73	15	3.02	0.60
Beech ( <i>Fagus sylvatica</i> ) <sup>a</sup>	19.5	99.10	234	6.12	0.75
Ash ( <i>Fraxinus pennsylvanica</i> ) <sup>a</sup>	11.84	24.39	38	4.11	0.58
Sweet gum ( <i>Liquidambar styraciflua</i> ) <sup>a</sup>	15.85	30.50	47	3.62	0.67
London Plane ( <i>Platanus x acerifolia</i> ) <sup>a</sup>	16.51	63.85	98	2.40	0.67
SRC Willow ( <i>Salix viminalis</i> ) <sup>a</sup>	10.17	11.15	20	2.31	0.75
Lime – Littleleaf Linden ( <i>Tilia cordata</i> ) <sup>a</sup>	7.64	24.32	17	3.87	0.60
Norway Spruce ( <i>Picea abies</i> ) <sup>b</sup>	13.4	44.4	50	9.80	1.00
<b>Stand type: Shrubs</b>					
Black Cherry ( <i>Prunus serotina</i> ) <sup>a</sup>	3.27	12.23	30	2.44	0.60
Berberis ( <i>Berberis stenophylla</i> ) <sup>b</sup>	2.25	7.25	15	3.27	1.00
Laurustinus ( <i>Viburnum tinus</i> ) <sup>b</sup>	5.20	8.3	10	3.52	1.00
Mahonia ( <i>Mahonia japonica</i> ) <sup>b</sup>	1.90	5.74	15	2.92	1.00

*a* = deciduous; *b* = evergreen.

<sup>†</sup> The life span for street trees is expected to be much shorter than their maximum biological potential reported for woodlands (Pauleit, 2003; USDA, 2008).

<sup>‡</sup> Intra-annual leaf cover.

**Table 2. Constituent parameters and evaluation methods used for estimating the set of multi-functionality and resilience traits.**

Trait	Constituent parameter	Method	Literature source
<i>Multi-functionality</i>			
<b>Pollutant flux potential (PFP)</b>	Leaf area index (LAI) <sup>a</sup>	Inventoried literature data	USDA (2008)
	Intra-annual leaf cover (IAL) <sup>b</sup>	Field survey	
	Pollutant deposition ( $P_{dep}$ ) <sup>a</sup> (g yr <sup>-1</sup> )	Estimated as annual average total removal of CO, PM <sub>10</sub> , NO <sub>2</sub> , O <sub>3</sub> , and SO <sub>2</sub> per unit tress cover area (m <sup>2</sup> )	Nowak et al. (2006); USDA (2008)
	Pollutant emission ( $P_{emi}$ ) <sup>a</sup> (g yr <sup>-1</sup> )	Estimated as annual average total emission of isoprene, monoterpene, and other VOCs	USDA (2008)
<b>Carbon sequestration potential (CSP) (kg yr<sup>-1</sup>)</b>	Diameter at breast height (DBH) <sup>b</sup> (cm)	Field survey	
	Height of crown base <sup>b</sup> (m)	Field survey	
	Above Ground Biomass (AGB) <sup>a</sup> (kg yr <sup>-1</sup> )	Estimated using DBH and stand height data in empirical biomass equations.	Various (see Appendix Table B.1)
<b>Thermal Comfort Potential (TCP)<sup>†</sup></b>	Canopy area (m <sup>2</sup> ) <sup>a</sup>	Inventoried literature data	USDA (2008)
	Leaf area index (LAI) <sup>a</sup>		
<b>Noise Attenuation Potential (NAP)<sup>†</sup></b>	Avg. leaf biomass (kg) <sup>a</sup>	Inventoried literature data	USDA (2008)
	Canopy area (m <sup>2</sup> ) <sup>a</sup>		
	Avg. stand height (m) <sup>a</sup>		
<b>Biomass energy potential (BEP) (MJ yr<sup>-1</sup>)</b>	Chemical composition (C, H, O, N, S and Ash) <sup>a</sup> (% wt./wt. of dry biomass)	Acquired from the literature measurements based on elemental analysis following standard CEN/TS 14961:2005 (see <b>Section 3.2</b> for details). Ash content measured in a furnace, adhering to standard DD CEN/TS 14775:2004.	Obernberger et al. (2005); AIEL (2008); Tumuluru et al. (2011)
	Heating values <sup>a</sup> (MJ kg <sup>-1</sup> )	Obtained from heating value of tree biomass on a dry basis, mainly the woody stock from chips, bark and pruning using literature data (see <b>Section 2.3</b> ).	BISYPLAN (2012); Sagani et al. (2014)
<i>Resilience</i>			
<b>Environmental stress tolerance</b>	Leaf Ascorbic acid content <sup>b</sup>	Determined from spectrophotometric analysis of supernatant samples obtained	Keller and Schwager (1977);

(EST)	(mg g <sup>-1</sup> fresh weight)	from snap-frozen leaf discs using the formula: $\frac{(E_0 - E_s - E_t) * V}{W \times 100} \times 100$	Prajapati and Tripathi (2008); Pathak et al. (2011)
		where V is the volume of the extract, W is the weight of the leaf sample (g), and E <sub>0</sub> , E <sub>s</sub> and E <sub>t</sub> are optical densities of blank sample, plant sample and sample with ascorbic acid respectively.	
	Total chlorophyll content <sup>b</sup> (mg g <sup>-1</sup> )	Determined from spectrophotometric analysis of optical densities of solutions of leaf pigment extracts (obtained in dark to avoid photo-oxidation of pigments) at 645 and 663nm wavelengths (D <sub>645</sub> and D <sub>663</sub> respectively) using the formula: $1.62 (D_{645}) + 0.64 (D_{663})$	Prajapati and Tripathi (2008); Yan-ju and Ding (2008)
	Leaf pH <sup>b</sup>	Determined using a digital pH meter from supernatant samples of crushed and homogenized 0.5 g of leaf.	Prajapati and Tripathi (2008)
	Relative water content <sup>b</sup> (%)	Calculated from leaf weight (LW) using the following formula: $RWC = \frac{LW_{fresh} - LW_{dry}}{LW_{turgid} - LW_{dry}} \times 100$	Pathak et al. (2011)

<sup>a</sup> Representative estimates based on literature data.

<sup>b</sup> Direct field measurements.

<sup>†</sup> Parameters used for evaluation of qualitative trends only (see Sections 2.3 and 2.4).

**Table 3. Estimation of performance index (PI) on the basis of the seven constituent traits as applied to fifteen street vegetation species in the case study area.**

SPECIES Common Name	TRAITS-VALUES							TRAITS-GRADES							PI	
	PPF	CSP	TCP	NAP	BEP	EST	CPF	PPF	CSP	TCP	NAP	BEP	EST	CPF		
Horsechestnut	2.7	14.5	909.9	0.012	3.1	11.3	10.7	+++	++	++++	+	++	+++	--	→	13
Sycamore Maple	1.7	11.3	356.7	0.009	3.2	9.9	3.0	++	++	++	+	++	++	+++++	→	16
Hornbeam	1.4	1.9	161.4	0.007	1.0	10.8	0.9	++	+	+	+	+	+++	+++++	→	15
Turkish Hazelnut	3.0	4.4	138.1	0.025	1.5	11.6	1.9	+++	+	+	+	++	+++	+++++	→	16
Beech	3.7	17.0	951.1	0.012	0.9	9.9	19.3	++++	++	++++	+	+	++	-----	↓	8
Ash	2.2	3.9	230.8	0.013	0.8	10.3	2.9	+++	+	+	+	+	+++	+++++	→	15
Sweet gum	0.0	8.1	158.9	0.007	2.0	6.8	4.8	-	+	+	+	++	++	+++	↓	9
London Plane	2.3	21.6	359.7	0.003	5.4	14.3	10.5	+++	+++	++	+	+++	+++	-	→	14
Willow (SRC)	0.1	20.6	115.8	0.041	5.2	5.7	1.1	+	+++	+	++	+++	++	+++++	→	18
Lime (Littleleaf linden)	1.8	4.9	169.0	0.017	0.9	10.1	1.9	++	+	+	+	+	+++	+++++	→	14
Norway spruce	4.7	10.2	643.9	0.122	3.7	11.3	5.9	+++++	++	+++	+++++	++	+++	+++	↑	23
Black cherry	1.5	3.3	221.7	0.032	0.8	8.3	0.4	++	+	+	++	+	++	+++++	→	15
Berberis	1.4	0.0011	98.2	0.096	0.3	7.8	0.2	++	+	+	++++	+	++	+++++	→	17
Laurustinus	1.7	0.0013	102.7	0.093	0.4	8.5	0.4	++	+	+	++++	+	++	+++++	→	17
Mahonia	1.5	0.0009	112.4	0.102	0.3	6.7	0.1	++	+	+	+++++	+	++	+++++	→	18

## List of Figures

Figure 1

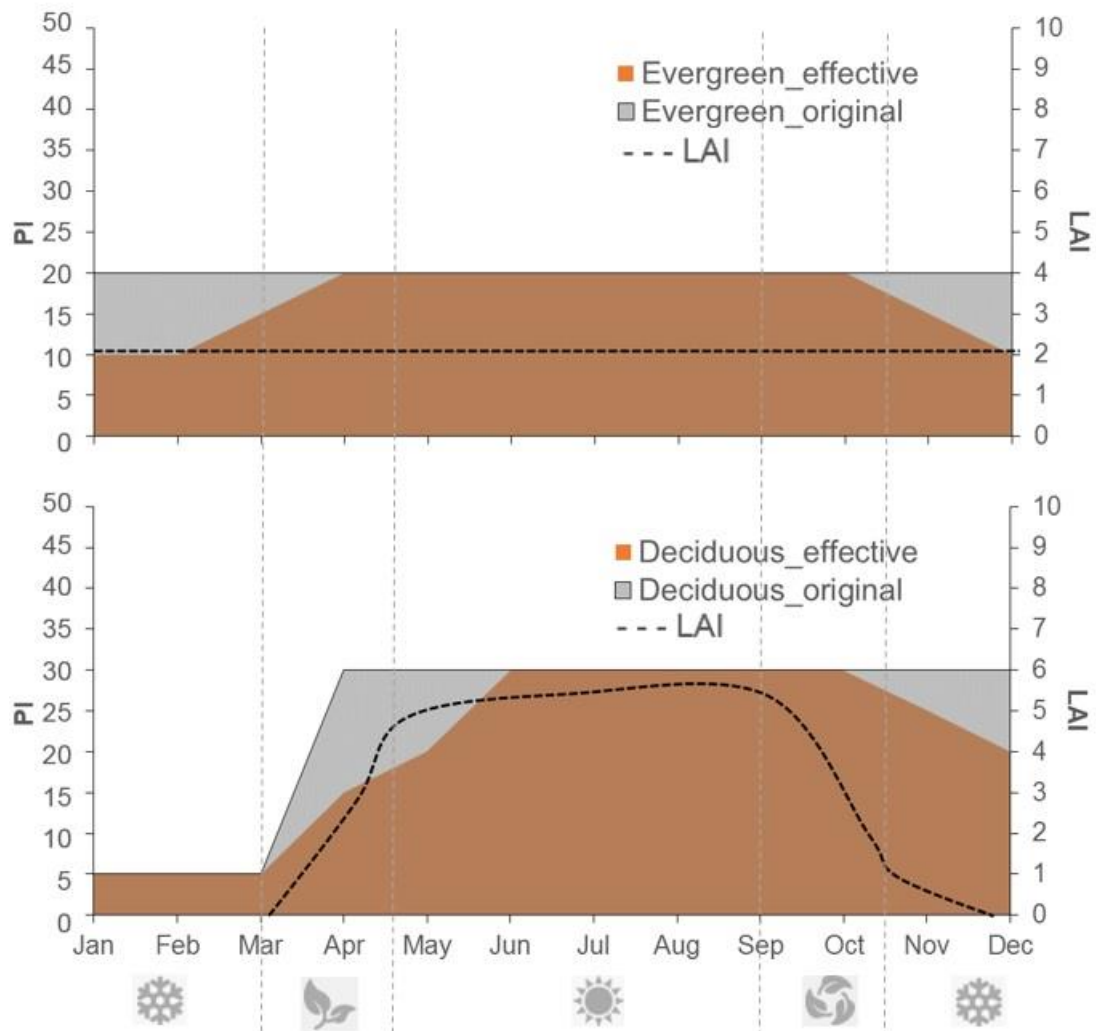


Figure 1. Schematic representation of the hypothetical  $PI_{Effective}$  (foliage-cover weighted PI) of vegetation over four seasons, upper panel: evergreen; lower panel: deciduous [note: dotted line depicts LAI on the secondary y-axis, which is considered static for evergreen but variable over the growing season for deciduous species].

## Appendix A

**Table A.1. Gradation scheme applied across the spectrum of multi-functionality and resilience traits to harmonise the value-based estimates.**

Trait	Assessment Criteria	Gradation
<i>Multi-functionality</i>		
Pollutant flux potential (PFP)	> 5.0	+++++
	5.0 to 4.1	++++
	4.0 to 3.1	+++
	3.0 to 2.1	++
	2.0 to 1.1	+
	1.0 to 0.1	-
	0.0 to -0.9	--
	-1.0 to -1.9	---
	-2.0 to -2.9	----
	-3.0 to -3.9	-----
	-4.0 to -4.9	-----
< -5.0	-----	
Carbon sequestration potential (CSP) (kg yr <sup>-1</sup> )	> 0.0 to 10.0	+
	10.1 to 20.0	++
	20.1 to 30.0	+++
	30.1 to 40.0	++++
	40.1 to 50.0	+++++
	> 50.0	+++++
Thermal Comfort Potential (TCP) <sup>†</sup>	0.0 to 250	+
	251 to 500	++
	501 to 750	+++
	751 to 1000	++++
	1001 to 1250	+++++
	1251 to 1500	+++++
	> 1500	+++++
Noise Attenuation Potential (NAP) <sup>†</sup>	0.0 to 0.025	+
	0.026 to 0.050	++
	0.051 to 0.075	+++
	0.076 to 0.1	++++
	0.11 to 0.125	+++++
	> 0.125	+++++
Biomass energy potential (BEP) (MJ yr <sup>-1</sup> )	> 0.0 to 1.0	+
	1.1 to 5.0	++
	5.1 to 10.0	+++
	10.1 to 15.0	++++
	15.1 to 20.0	+++++
	> 20.0	+++++

*Resilience*

Environmental stress tolerance (EST)	> 0.0 to 5.0	+
	5.1 to 10.0	++
	10.1 to 15.0	+++
	15.1 to 20.0	++++
	20.1 to 25.0	+++++
	> 25.0	++++++

*Canopy characteristics*

Canopy projection factor (CPF)	> 0.0 to 1.5	++++++
	1.51 to 3.0	+++++
	3.1 to 4.5	++++
	4.51 to 6.0	+++
	6.1 to 7.5	++
	7.51 to 9.0	+
	9.1 to 10.5	-
	10.51 to 12.0	--
	12.1 to 13.5	-----
	13.51 to 15.0	-----
	>15.0	-----

† These are purely indicative trends, estimated using representative canopy and seasonal characteristics of species [note: units for indicative estimates of TCP and NAP are based on the dimensions of the parameters used and are respectively m<sup>2</sup> and kg m<sup>-3</sup>].

**Table A.2. The decision matrix showing the resultant ranking score as equivalent Performance Index bands and their corresponding management decision interpretation for streetscaping.**

<b>Performance Index score</b>	<b>Decision category</b>
< 5	Poor
5 - 10	Not recommended for street environments
> 10	Favourable for street environments

## Appendix B

**Table B.1. List of empirical biomass equations used to estimate the above ground biomass of different species.**

Plant (Scientific Name)	Biomass Equation†	Parameters	Reference
Horsechestnut ( <i>Aesculus hippocastanum</i> )	$\ln(\text{AGB}) = a + b * \ln(\text{dbh})$	a. -2.4800, b. 2.4835	Jenkins et al. (2003)
Sycamore Maple ( <i>Acer pseudoplatanus</i> )	$\ln(\text{AGB}) = a + b * \ln(\text{dbh})$	a. -2.7018, b. 2.575	Zianis et al. (2005)
Hornbeam ( <i>Carpinus betulus</i> )	$\text{AGB} = a * (\text{dbh})^b$	a. 0.258, b. 2.1748	Suchomel et al. (2012)
Turkish Hazelnut ( <i>Corylus colurna</i> )	$\text{AGB} = a + b * (\text{dbh})^{1.99} * (\text{Height})^{3.0}$	a. 92.31, b. $2.7 \times 10^{-9}$	Vidrih et al. (2009)
Beech ( <i>Fagus sylvatica</i> )	$\text{AGB} = a * (\text{dbh})^b * (\text{Height})^c$	a. 0.0523, b. 2.12, c. 0.655	Wutzler et al. (2008)
Ash ( <i>Fraxinus pennsylvanica</i> )	$\ln(\text{AGB}) = a + b * \ln(\text{dbh})$	a. 2.4718, b. 2.5466	Zianis et al. (2005)
Sweet gum ( <i>Liquidambar styraciflua</i> )	$\text{AGB} = a + b * (\text{dbh})^2 * \text{Height}$	a. -15.088, b. 0.1127	Adams and Lockaby (1988)
London Plane ( <i>Platanus acerifolia</i> )	$\ln(\text{AGB}) = a + b * \ln(\text{dbh})$	a. -2.2118, b. 2.5349	Chojnacky et al. (2014)
Willow - SRC ( <i>Salix viminalis</i> )	$\ln(\text{AGB}) = a + b * \ln(\text{dbh})$	a. -2.2094, b. 2.3867	Jenkins et al. (2003)
Lime - Littleleaf Linden ( <i>Tilia cordata</i> )	$\ln(\text{AGB}) = a + b * \ln(\text{dbh})$	a. -2.6788, b. 2.4542	Zianis et al. (2005)
Norway spruce ( <i>Picea abies</i> )	$\text{AGB} = a * (\text{dbh})^b$	a. 0.5769, b. 1.964	Zianis et al. (2005)
Black Cherry ( <i>Prunus serotina</i> )	$a + b * (\text{dbh}) + c * (\text{dbh})^2$	a. 79.24, b. -12.78, c. 0.85	Annighöfer et al. (2012)
Berberis ( <i>Berberis stenophylla</i> )	$\ln(\text{AGB}) = a + b * \ln(\text{dbh})$	a. 5.843, b. 1.715	Northup et al. (2005)
Laurustinus ( <i>Viburnum tinus</i> )	$\ln(\text{AGB}) = a + b * \ln(\text{dbh})$	a. 5.843, b. 1.715	Northup et al. (2005)
Mahonia ( <i>Mahonia japonica</i> )	$\ln(\text{AGB}) = a + b * \ln(\text{dbh})$	a. 5.843, b. 1.715	Northup et al. (2005)

† Biomass units for all species are kg/stand, except for shrub plants *Mahonia japonica*, *Berberis stenophylla*, *Viburnum tinus*, which are expressed in g stand<sup>-1</sup>.